

3623  
IN

PTO/SB/21 (09-06)

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## TRANSMITTAL FORM

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Total Number of Pages in This Submission

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Application Number

09/686,516

Filing Date

10-11-2000

First Named Inventor

ARNETT ET AL

Art Unit

3623

Examiner Name

MEINECKE DIAZ, SUSANNA M

Attorney Docket Number

BL055-GN004

### ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

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Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/  
Incomplete Application

☐

Reply to Missing Parts  
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a  
Provisional Application

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Power of Attorney, Revocation  
Change of Correspondence Address

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Terminal Disclaimer

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Request for Refund

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After Allowance Communication to TC

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Appeal Communication to Board  
of Appeals and Interferences

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Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

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Proprietary Information

☐

Status Letter

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Other Enclosure(s) (please identify  
below):

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Remarks

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT, INCLUDING FORMS PTO/  
SB/08A & 08B + COPIES OF 2 NON-PATENT CITED REFERENCES

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

TAFT, STETTINUS & HOLLISTER, LLP

Signature

Printed name

DAVID A. MANCINO

Date

12-22-2006

Reg. No.

39,289

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SHARON A. SHELTON

Date

12-22-2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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(Date)

Sharon A. Shelton  
Sharon A. Shelton

### PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#### Application of:

Applicant : Arnett, Nicholas D.  
Serial No. : 09/686,516  
Filed On : 10/11/2000  
Title : SYSTEM AND METHOD FOR COLLECTION AND ANALYSIS OF  
ELECTRONIC DISCUSSION MESSAGES  
Docket No. : BL055-GN004  
Examiner : Meinecke Diaz, Susanna M.  
Art Unit : 3623

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313

Dear Sir:

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

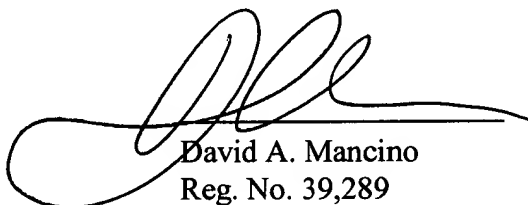
Pursuant to 37 C.F.R. § 1.97, the Examiner's attention is directed to the references listed on the attached Forms PTO-SB-08A and 08B. A copy of each of the non patent listed references is provided. Pursuant to 37 C.F.R. § 1.17(p), applicant states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information

**Information Disclosure Statement**  
**BL055-GN004**  
**Page 2**

disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

It is to be understood that the present disclosure of art is in no way intended to be a waiver of any arguments or defenses available to the Applicant under the rules of the U.S. Patent and Trademark Office and the statutes of the United States. No fee is required. The Commissioner is hereby authorized to charge any additional fees that may be required by this paper, or to credit any overpayment to Deposit Account 50-3072.

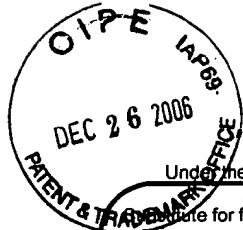
Respectfully submitted,



David A. Mancino  
Reg. No. 39,289

030074  
TAFT, STETTINIUS & HOLLISTER LLP  
425 Walnut Street, Suite 1800  
Cincinnati, OH 45202-3957  
(513) 357-9331  
mancino@taftlaw.com

PTO/SB/08A (09-06)



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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	09/686,516
		Filing Date	10-11-2000
		First Named Inventor	ARNETT ET AL
		Art Unit	3623
		Examiner Name	DIAZ, SUSANNA M
Sheet 2	of 2	Attorney Docket Number	BL055-GN004

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		KAHN ET AL., Categorizing Web Documents using Competitive Learning: An Ingredient of a Personal Adaptive Agent, IEEE; c 1997	
		NAKASHIMA ET AL., Information Filtering for the Newspaper, IEEE; c 1997	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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